



STATE OF CONNECTICUT

# RETIREMENT SECURITY BOARD

CO-CHAIRS: STATE COMPTROLLER KEVIN LEMBO & STATE TREASURER DENISE NAPIER

## Staff Report to CRSB

### Re: Speakers and Targeted Recipients of RPC

### October 1, 2014 CRSB Meeting

#### Potential Speakers at Public Forum:

Theresa Ghilarducci

Jamie Kalamarides (Prudential)

Professor James Choi (Professor of Finance, Yale School of Management)

Professor Ian Ayres (Professor, Yale Law School)

Professor Jacob Hacker (Professor of Political Science, Yale University)

Bryan Graff (CEO of American Society of Pension Professionals and Actuaries)

(Specialist on gender and race)?

#### Oregon's speakers:

Glenn Dial, US Retirement Security / Allianz Global Investors (behavioral economics)

David C. John, Senior Strategic Policy Advisor, AARP P.P. Institute (behavioral economics)

Nari Rhee, National Institute on Retirement Security (race and income as variables)

#### California's speakers:

Shlomo Benartzi, Professor and Co-Chair of the Behavioral Decision-Making Group

Diane Oakley, Executive Director, National Institute on Retirement Security (NIRS)

Alison Borland, Vice President, Retirement Solutions and Strategies (Aon Hewitt)

Chip Castille, Managing Director, Head of US Retirement Group (BlackRock)

Drew Carrington, Senior Vice President, Head of Defined Contribution (Franklin Templeton)

Bennett Kleinberg, Vice President, IIS Innovation, Prudential Retirement (Prudential)

Kristi Mitchem, Executive Vice President (State Street Global Advisors)

Sonya Park, Vice President, Senior Relationship Manager (State Street Global Advisors)

Timothy Lane, Managing Director, Government Market (TIAA-CREF Financial Services)

Craig Howell, Director of Business Development (The Online 401k)

Andrew Meadows, Brand Ambassador (The Online 401k)



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## **Potential Targeted Recipients of Request for Public Comment:**

Large Financial services firms with a presence in CT:

AETNA  
AXA Equitable  
ConnectiCare  
Cronus Partners  
GE Money/Asset Management  
Globe Op Financial  
The Hartford Financial Services  
Hartford Steam Boiler Inspection & Insurance Company / AIG  
ING Group  
inSource, LLC  
Insurity  
John Hancock  
KMPG  
Merrill Lynch  
MetLife  
MassMutual Financial Group  
New Alliance Bank  
Northeast Utilities  
People's United Bank  
Phoenix Wealth Management  
PricewaterhouseCoopers  
Principal  
Prudential Retirement  
Research Edge  
Royal Bank of Scotland (RBS)  
Savings Bank Life Insurance  
Sovereign Bank  
Sun Life Financial  
T. Rowe Price  
TD Banknorth  
The Travelers Companies  
UBS Wealth Management  
UnitedHealth Group  
Vanguard  
Voya  
Wealth Management Advisors  
Webster Bank  
Woodcreek Capital Management  
XL insurance



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### Partnership organizations:

Connecticut Insurance and Financial Services  
American Council of Life Insurers

### Smaller financial service firms in CT offering investment consulting or management:

Blueprint Financial Group  
Essex Financial Services  
Innovest Financial Services  
Coleman Financial Advisory Group  
Pension Planning Concepts, Inc  
Mercury Wealth Management  
Segal Rogerscasey  
Bridgewater  
HIMCO  
Conning

### University Schools:

Yale Business School  
UCONN School of Business  
UCONN Labor Education Center  
CCSU Institute of Technology and Business Development  
University of Hartford Entrepreneurial Center

### Labor Unions and Institutes:

Council 4 AFSCME  
SEIU  
AFL-CIO  
United Association for Labor Education

### Retiree Organizations:

Connecticut Alliance for Retired Americans  
AARP Connecticut  
Estuary Council of Seniors, Inc.  
Retirement for All CT Coalition

### Small Business Organizations:

Connecticut Business and Industry Association  
SBA SCORE  
SBDC CT



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SMA Smaller Manufacturers' Association  
SAHOA of Southeastern CT  
Connecticut Economic Resource Center  
DECD International  
Women's Business Development Council  
Northeast CT Economic Alliance  
National Federation of Independent Businesses

**Research Institutes:**

Pew Research Center  
FactSet  
LIMRA  
ING Retirement Research Institute  
Siena Research Institute  
Schwartz Center for Economic Policy Analysis  
TIAA-CREF Institute  
National Institute on Retirement Security

**Other:**

Paul Orme (Online Trading Academy)